



Version 01/17

# Risk Disclosure Statement

## 1. Introduction

This Risk Disclosure Statement is issued by StocktiX Ltd to provide our clients with an understanding of the risks associated with trading and investing in financial products, including but not limited to equities, options, futures, contracts for difference (CFDs), and other financial instruments.

Engaging in financial trading involves significant risk and is not suitable for all investors. By accessing or using our services, you acknowledge and accept these risks, including the potential for substantial losses. The content of this statement is not exhaustive, and you should carefully consider your financial situation and objectives before participating in trading activities.

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Securities and Exchange Commission (SEC). StocktiX Ltd, Registered office: 370 17th St, Denver, CO 80202.

## **2. General Risk Factors**

### **2.1 Risk of Loss**

Trading in financial instruments carries a high risk of loss.

Market movements may result in the partial or complete loss of your initial investment. There is no guarantee of profits, and past performance is not indicative of future results.

### **2.2 No Guarantee of Returns**

All financial products and services provided by StocktiX Ltd are offered on an "as-is" basis. We make no warranties regarding the performance or outcomes of any financial instrument.

### **2.3 Market Volatility**

Financial markets can be highly volatile, and prices may fluctuate significantly within short periods. Market conditions can change rapidly due to events such as economic announcements, political developments, or natural disasters.

### **2.4 Risk of Over-Leveraging**

Leverage amplifies both gains and losses. While it can increase potential profits, it also increases the likelihood of significant losses that may exceed your initial investment. Clients should

understand margin requirements and assess their risk tolerance carefully.

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### **3. Specific Risks**

#### **3.1 Equity Market Risks**

Investing in equities is subject to company-specific risks, industry risks, and broader market risks. Company earnings, management decisions, and market sentiment can all affect share prices.

#### **3.2 Derivatives Risk**

Options, futures, and other derivatives are complex financial instruments that may not be suitable for all investors. They carry significant leverage and are sensitive to small price changes in the underlying asset, which can lead to substantial gains or losses.

#### **3.3 Foreign Exchange Risk**

Investments denominated in foreign currencies or traded on international markets expose you to exchange rate fluctuations. Currency movements can impact your profits and losses.

### **3.4 Interest Rate Risk**

Interest rate changes can affect the value of certain financial instruments, particularly fixed-income securities. Rising interest rates may decrease the market value of bonds or similar products.

### **3.5 Counterparty Risk**

Transactions executed through StocktiX Ltd involve third parties, such as brokers or clearinghouses. There is a risk that these parties may default on their obligations, leading to potential financial loss.

### **3.6 Technology and System Risks**

Trading on electronic platforms is subject to risks, including system failures, network interruptions, or cyberattacks. These events may delay or prevent order execution and could result in financial losses.

## **4. Operational Risks**

### **4.1 Execution Risk**

Delays in order execution, pricing discrepancies, or market conditions may result in unfavorable trade outcomes. StocktiX Ltd does not guarantee that orders will always be executed at the requested price.

### **4.2 Liquidity Risk**

Some financial instruments may have limited liquidity, making it difficult to buy or sell positions at desired prices. Illiquid markets may experience significant price gaps, increasing the risk of loss.

### **4.3 Margin and Leverage Risk**

Failure to maintain sufficient margin in your account may result in the liquidation of your positions without prior notice. Losses can exceed the amount of margin deposited.

### **4.4 Force Majeure**

Unforeseen events such as natural disasters, political instability, or technological failures may disrupt market conditions and affect your trading activities.

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## **5. Legal and Regulatory Risks**

### **5.1 Regulatory Changes**

Changes in laws, tax policies, or regulations may impact the value or legality of your investments. StocktiX Ltd is not responsible for notifying clients of changes in regulatory frameworks.

### **5.2 Tax Obligations**

Clients are solely responsible for understanding and complying with tax obligations related to their trading activities. StocktiX Ltd does not provide tax advice.

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## **6. Responsibilities of the Client**

### **6.1 Risk Awareness**

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It is your responsibility to fully understand the risks associated with trading financial instruments. You should evaluate your financial situation, objectives, and risk tolerance before engaging in trading activities.

## **6.2 Independent Advice**

StocktiX Ltd does not provide personalized investment advice. Clients are encouraged to seek independent professional advice to ensure their decisions align with their financial goals.

## **6.3 Monitoring Accounts**

Clients must monitor their trading accounts regularly to ensure compliance with margin requirements and to stay informed about market movements.

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# **7. Limitations of Liability**

## **7.1 Information Accuracy:**

While we strive to provide accurate and up-to-date information, StocktiX Ltd does not guarantee the accuracy or completeness of any information provided.

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## **7.2 Exclusion of Liability:**

StocktiX Ltd is not liable for any losses resulting from market risks, technical failures, or actions taken by third parties.

## **7.3 Client Responsibility:**

All trading decisions are made at the client's sole discretion. StocktiX Ltd does not accept liability for losses resulting from these decisions.

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# **8. Additional Risks**

## **8.1 High-Risk Instruments:**

Certain instruments, such as options, futures, and CFDs, carry higher risks and are not suitable for all investors.

## **8.2 Geopolitical Risks:**

Investments may be affected by political instability, trade disputes, or changes in international relations.

## **8.3 Psychological Risks:**

The stress and emotional impact of trading losses can affect decision-making and overall well-being.



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## **9. Acknowledgment of Risk**

By opening an account with StocktiX Ltd or engaging in trading activities, you acknowledge and agree that:

1. You understand the risks associated with financial trading.
2. You are capable of bearing the financial and psychological impact of potential losses.
3. You accept that StocktiX Ltd is not liable for trading losses resulting from your decisions.